

Exkluderingslista

Enligt Captors Riktlinjer för ansvarsfulla investeringar (2022-02-11) ska bolaget i sin kapitalförvaltning utesluta investeringar i länder som finns med på FN:s och EU:s sanktionslistor.

	Restrictive measures	Adopted by	Date
Afghanistan	<ul style="list-style-type: none"> Arms export Asset freeze and prohibition to make funds available Restrictions on admission 	UN	Last legal update reflected 13.04.2022
Belarus	<ul style="list-style-type: none"> Arms export Asset freeze and prohibition to make funds available Embargo on dual-use goods Financial measures x11 Flights, airports, aircrafts Road transport Restrictions on admission Restrictions on equipment used for internal repression Cement Iron and steel Machinery and electrical equipment Mineral products Potassium chloride Rubber Telecommunications equipment Tobacco Wood 	EU	Date of expiration 28.02.2023
Bosnia & Herzegovina	<ul style="list-style-type: none"> Asset freeze and prohibition to make funds available Restrictions on admission 	EU	Date of expiration 31.03.2024
Burundi	<ul style="list-style-type: none"> Asset freeze and prohibition to make funds available Prohibition to satisfy claims Restrictions on admission 	EU	Date of expiration 31.10.2022
Central African Republic	<ul style="list-style-type: none"> Arms export Asset freeze and prohibition to make funds available Prohibition to satisfy claims Restrictions on 	UN	Last legal update reflected 13.04.2022

	admission		
China	<ul style="list-style-type: none"> Arms embargo 	EU	Last legal update reflected 27.06.1989
Democratic People's Republic of Korea (DPRK – North Korea)	<ul style="list-style-type: none"> Arms export Arms procurement Asset freeze and prohibition to make funds available Dual-use goods export Financial measures x6 Flights, airports, aircrafts Inspections x2 Investments x2 Other restrictions x3 Ports and vessels x6 Restrictions on goods x4 Aviation and jet fuel Crude oil Earth and stone Food agricultural products Gold, precious metals, diamonds Luxury goods Machinery and electrical equipment Other items x3 Petrol products Refined petroleum products Seafood Vessels Wood Industrial machinery, transportation vehicles, and iron, steel, and other metals Restrictions on services x4 Training and education Vigilance x2 	UN and EU	Last legal update reflected 21.04.2022
Democratic Republic of the Congo	<ul style="list-style-type: none"> Arms export Asset freeze and prohibition to make funds available Prohibition to satisfy claims Restrictions on admission 	UN and EU	Date of expiration 12.12.2022
Guinea	<ul style="list-style-type: none"> Asset freeze and prohibition to make funds available Restrictions on admission 	EU	Date of expiration 27.10.2022
Guinea-Bissau	<ul style="list-style-type: none"> Asset freeze and prohibition to make 	UN and EU	Last legal update reflected 28.07.2022

	<ul style="list-style-type: none"> funds available Restrictions on admission 		
Haiti	<ul style="list-style-type: none"> Prohibition to satisfy claims 	EU	Last legal update reflected 08.07.2019
Iran	<ul style="list-style-type: none"> Arms export Arms procurement Asset freeze and prohibition to make funds available Embargo on dual-use goods Inspections Prohibition to satisfy claims Restrictions on admission Restrictions on good x4 	UN and EU	Last legal update reflected 27.06.2022
Iran	<ul style="list-style-type: none"> Asset freeze and prohibition to make funds available Restrictions on admission Restrictions on equipment used for internal repression Telecommunications equipment 	EU	Date of expiration 13.04.2023
Iraq	<ul style="list-style-type: none"> Arms embargo Asset freeze and prohibition to make funds available Cultural property 	UN	Last legal update reflected 23.05.2022
Lebanon	<ul style="list-style-type: none"> Asset freeze and prohibition to make funds available Restrictions on admission 	EU	Date of expiration 31.07.2023
Lebanon	<ul style="list-style-type: none"> Arms export 	UN	Last legal update reflected 13.04.2022
Lebanon	<ul style="list-style-type: none"> Asset freeze and prohibition to make funds available Restrictions on admission 	UN	Last legal update reflected 13.04.2022
Libya	<ul style="list-style-type: none"> Arms export Arms procurement Asset freeze and prohibition to make funds available Flights, airports, aircrafts Inspections Ports and vessels x3 Prohibition to satisfy claims Restrictions on 	UN and EU	Last legal update reflected 26.07.2022

	<ul style="list-style-type: none"> admission • Restrictions on equipment used for internal repression • Vessels • Vigilance 		
Libya	<ul style="list-style-type: none"> • Prohibition to satisfy claims 	EU	Last legal update reflected 08.07.2019
Mali	<ul style="list-style-type: none"> • Asset freeze and prohibition to make funds available • Restrictions on admissions 	UN and EU	Last legal update reflected 13.04.2022
Moldova	<ul style="list-style-type: none"> • Restrictions on admission 	EU	Date of expiration 31.10.2022
Montenegro	<ul style="list-style-type: none"> • Prohibition to satisfy claims 	UN and EU	Last legal update reflected 13.06.1994
Myanmar (Burma)	<ul style="list-style-type: none"> • Arms export • Asset freeze and prohibition to make funds available • Dual-use goods export • Restrictions on admission • Restrictions on equipment used for internal repression • Telecommunications equipment • Restrictions on military training and military cooperation 	EU	Date of expiration 30.04.2023
Nicaragua	<ul style="list-style-type: none"> • Asset freeze and prohibition to make funds available • Restrictions on admission 	EU	Date of expiration 15.10.2022
Russia	<ul style="list-style-type: none"> • Arms export • Arms import • Dual-use goods export • Financial measures x19 • Flights, airports, aircrafts • Other restrictions • Road transport • Ports and vessels • Prohibition to satisfy claims • Restrictions on goods x2 • Aviation or the space industry • Coal and other solid fossil fuels • Crude oil • Gold • Iron and steel • Luxury goods 	EU	Date of expiration 31.01.2023

	<ul style="list-style-type: none"> • Maritime navigation • Oil refining • Other items • Restrictions on services 		
Serbia	<ul style="list-style-type: none"> • Prohibition to satisfy claims 	UN and EU	Last legal update reflected 16.07.1994
Somalia	<ul style="list-style-type: none"> • Arms export • Asset freeze and prohibition to make funds available • Inspections • Restrictions on admission • Other items • Vigilance 	UN	Last legal update reflected 13.04.2022
South Sudan	<ul style="list-style-type: none"> • Arms export • Asset freeze and prohibition to make funds available • Restrictions on admission 	UN and EU	Last legal update reflected 16.05.2022
Sudan	<ul style="list-style-type: none"> • Arms export • Asset freeze and prohibition to make funds available • Restrictions on admission 	UN and EU	Last legal update reflected 13.04.2022
Syria	<ul style="list-style-type: none"> • Arms import • Asset freeze and prohibition to make funds available • Financial measures x6 • Flights, airports, aircrafts • Inspections • Investments x2 • Prohibition to satisfy claims • Restrictions on admission • Restrictions on equipment used for internal repression • Restrictions on goods • Aviation and jet fuel • Cultural property • Gold, precious metals, diamonds • Luxury goods • Other items x2 • Petrol products • Telecommunications equipment 	EU	Date of expiration 01.06.2023
Syria	<ul style="list-style-type: none"> • Asset freeze and prohibition to make funds available • Restrictions on 	UN	Last legal update reflected 13.04.2022

	admission		
Tunisia	<ul style="list-style-type: none"> Asset freeze and prohibition to make funds available 	EU	Date of expiration 31.01.2023
Turkey	<ul style="list-style-type: none"> Asset freeze and prohibition to make funds available Restrictions on admission 	EU	Date of expiration 12.11.2022
Ukraine	<ul style="list-style-type: none"> Financial measures x2 Investments x2 Restrictions on goods x2 Restrictions on services x2 	EU	Date of expiration 24.02.2023
Ukraine	<ul style="list-style-type: none"> Financial measures x2 Investments x2 Restrictions on goods x2 Restrictions on services x2 	EU	Date of expiration 23.06.2023
Ukraine	<ul style="list-style-type: none"> Asset freeze and prohibition to make funds available Restrictions on admission 	EU	Date of expiration 15.09.2022
Ukraine	<ul style="list-style-type: none"> Asset freeze and prohibition to make funds available 	EU	Date of expiration 06.03.2023
Venezuela	<ul style="list-style-type: none"> Arms export Asset freeze and prohibition to make funds available Restrictions on admissions Restrictions on equipment used for internal repression Telecommunications equipment 	EU	Date of expiration 14.11.2022
Yemen	<ul style="list-style-type: none"> Arms export Asset freeze and prohibition to make funds available Inspections Restrictions on admissions 	UN	Last legal update reflected 14.03.2022
Zimbabwe	<ul style="list-style-type: none"> Arms export Asset freeze and prohibition to make funds available Restrictions on admission Restrictions on equipment used for internal repression 	EU	Expires 20.02.2023

Captor har dessutom egna restriktioner kring investering i bolag som är involverade inom vissa industrier. Följande produktkategorier tas i beaktning vid investering:

- *Klusterbomber, personminor*
- *Kemiska och biologiska vapen*
- *Kärnvapen*
- *Vapen och/eller krigsmateriel*
- *Alkohol*
- *Tobak*
- *Kommersiell spelverksamhet*
- *Pornografi*
- *Fossila bränslen (olja, gas, kol)*

Bolag som överskrider placeringsmaxvärdet om 5% av sin omsättning exkluderas av Captor.

Ingen av Captors fonder tillåter investeringar i bolag som kränker internationella normer. Captor använder underleverantören Sustainalytics för att identifiera bolag som systematiskt bryter mot internationella normer och konventioner som omfattas av bland annat FN Global Compact och OECD:s riktlinjer för multinationella företag. Har investering skett i bolag som i ett senare läge bryter mot dessa normer ska innehavet som regel säljas av.

För mer information kring Captors interna regler för investering, se Riktlinjer för ansvarsfulla investeringar och Hållbarhetspolicy på <https://captor.se/hallbarhet/>. För information kring sanktioner på EU och FN-nivå hänvisar bolaget till <https://sanctionsmap.eu> eller svenska regeringens hemsida.